

ສາທາລະນະລັດ ປະຊາທິປະໄຕ ປະຊາຊົນລາວ
ສັນຕິພາບ ເອກະລາດ ປະຊາທິປະໄຕ ເອກະພາບ ວັດທະນະຖາວອນ

ທະນາຄານແຫ່ງ ສປປ ລາວ
ກົມຈັດຕັ້ງແລະພະນັກງານ

ເລກທີ 343 /ກຈພ

ນະຄອນຫຼວງວຽງຈັນ, ວັນທີ 17 ທັນວາ 2018

ສຳນັກງານ ຄຄຊ	
ເລກທີ	1321
ວັນທີ	18. DEC. 2018
ໂທລະສັບ 021 217770	

ສະໂນດນຳສິ່ງ

ຮຽນ: ທ່ານ ຫົວໜ້າກົມພົວພັນສາກົນ ທີ່ນັບຖື

ເລື່ອງ: ແຜນສ້າງຄວາມສາມາດພາຍໃຕ້ການຊ່ວຍເຫຼືອຈາກທະນາຄານພັດທະນາອາຊີ ເພື່ອສິ່ງເສີມການ
ເຊື່ອມໂຍງທາງການເງິນຂອງອາຊຽນ ປະຈຳປີ 2019.

- ອີງຕາມໜັງສືອີເລັກໂທຣນິກຈາກທະນາຄານພັດທະນາອາຊີ ຄັ້ງວັນທີ 06 ພະຈິກ 2018.

ກົມຈັດຕັ້ງແລະພະນັກງານ ຂໍຖືເປັນກຽດນຳສິ່ງເອກະສານມາຍັງທ່ານ, ດັ່ງມີລາຍລະອຽດລຸ່ມນີ້:

ລຳດັບ	ເນື້ອໃນ	ຈຳນວນ	ໝາຍເຫດ
1	ແຜນສ້າງຄວາມສາມາດພາຍໃຕ້ການຊ່ວຍເຫຼືອຈາກ ທະນາຄານພັດທະນາອາຊີ ເພື່ອສິ່ງເສີມການເຊື່ອມ ໂຍງທາງການເງິນຂອງອາຊຽນ ປະຈຳປີ 2019.	1 ສະບັບ	ເພື່ອຊາບ.

ດັ່ງນັ້ນ, ຈຶ່ງແຈ້ງມາຍັງທ່ານ ເພື່ອຊາບ.

ແຈ້ງຍອມຮັບຫົວໜ້າກົມ



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ເສີມທະວີ ສີຫາຝິນ

• ສຳເນົາສິ່ງ:

- WC-FSL, WC-CAL, WC-CMD, WC-PSS,
WC-FINC ແລະ WC-ABII ເພື່ອຊາບ ແລະ ຕິດຕາມ.

CAPACITY-BUILDING SELF-ASSESSMENT TEMPLATE FOR SCCB CAPACITY BUILDING ACTIVITIES
Reporting Period : 2019
Institution : Bank of the Lao PDR

W/C	SAP/Key/Annual Priorities	Capacity Building		Indicative Dates	Assessment by BCLMW	Recommended actions and comments	
		Needs	Proposed Activities			Recipient Institution	SCCB
	ABIF Learning Roadmap (1)/Layer 2	<ul style="list-style-type: none"> - To understand the key policy measures and best practices on Corporate Governance for banks; - To understand what are the main objectives to be included in relevant regulations and what should be in guidelines; - What are the good practices to introduce new concepts such as Corporate Culture, Risk Governance. 	Pillar A: Bank Corporate Governance	Feb - Mar 2019			
	SAP: Financial Stability	<ul style="list-style-type: none"> -To understand the approach on identifying, analyzing and addressing problem loans; - To understand IFRS 9 loan provisioning and how to implement IFRS 9; - To understand problems and impacts of implementing IFRS 9; - To understand what Classification and Measurement, Impairment, Hedge Accounting and Disclosures in IFRS 9. 	Pillar A: Identify, analyzing and addressing problems and workout loans, including loan provisioning and IFRS 9	Sep - Oct 2019			
	SAP: Financial Stability	<ul style="list-style-type: none"> - To understand inherent risk of digital products of banks; - To understand the risk management related to digital products; - To understand the supervision of banking digital products; - To understand, updates new banking digital product for issuing law, policy, regulation on supervise or management and issue guideline for commercial banks practices; - What are the best practice in measure , monitor, examine of the banking digital products. 	Pillar A: Managing of banking digital products	Jun-19			
ABIF	SAP: Financial Integration and Financial Stability	<ul style="list-style-type: none"> - To understand what are the good practice of qualitative and quantitative Disclosure; - To study the best practices on regulating information disclosures and market discipline, including full consolidation disclosure; - To understand conditions and how to disclose information for the emergency situation (ad hoc) or for a bank in financial crisis. 	Pillar A: Information Disclosure in accordance with Pillar 3 of Basel 2 Principle	Mar - Apr 2019			
	ABIF Learning Roadmap (2)/Layer 2	<ul style="list-style-type: none"> - To understand the principles on cross-border supervision; - To understand the best practice on cross-border supervision and examination; - To understand the key challenge and how to handle cross-border supervision; - To identify terms and conditions, issuing law, agreement, manual etc. for cross-border supervision. 	Pillar B: Cross-border Supervision	Jul-19			
	ABIF Learning Roadmap (2)/Layer 2 modules: L2-SC03	<ul style="list-style-type: none"> - To understand methods of consolidated supervision of Bank of Thailand including determination of definition of consolidated banks; financial analysis of consolidated banks; capital adequacy calculation of consolidated banks, reporting of consolidated bank; rights and power of supervisors to intervene non-bank subsidiary companies of commercial banks; and other related topic; - To identify terms and conditions, issuing law, agreement manual etc. for consolidate supervision; - what are the best practice on supervising and examining the consolidate establishment. 	Pillar C: Bilateral study visit on Consolidate Supervision	Sep - Oct 2019			
	SAP: Financial Stability	<ul style="list-style-type: none"> - To understand how to prepare database and facilities to design the Early Warning System; - To understand how to assess the results from Early Warning System. 	Pillar C: Bilateral study visit on Early Warning System	May-19			

WC	SAP/KPI/Annual Priorities	Needs	Capacity Building	Proposed Activities	Indicative Dates	Assessment by BCLMV	Recommended actions and comments Recipient Institution	SCCB
	ABFI's SAP	- To make law, regulation, agreement on Crisis Management Recovery Resolution Framework; - To educate or discuss with other supervisors for any case in Crisis Management Recovery Resolution; - To share information and experience each other.		Pillar C: Bilateral study visit on Crisis Management Recovery Resolution Framework	Nov - Dec 2019			
	WC-FSL's SAP: Continue publication of financial safeguard measures	Understanding on ans its application (what is financial safeguard measures, the application of financial safeguard measures, how many types, best international practices on drafting financial safeguard measures, etc.)		Pillar A: The understanding of financial safeguard measures	1st Quarter 2019			
	WC-FSL's SAP: Progressive liberalization of financial services under ASEAN Framework Agreement on Services (AFAS)/ASEAN Trade in Services Agreement (ATISA)	Understanding the meaning and regulatory approach for sub-sector under banking and other financial services that BQL plans to liberalize in the future (e.g. money broking, asset management, such as cash or portfolio management, all forms of collective investment management, pension fund management, custodial, depository and trust services; settlement and clearing services for financial assets, including securities, derivative products and other negotiable instruments; provision and transfer of financial information, and financial data processing and related software by suppliers of other financial services; and advisory, intermediation and other auxiliary financial services on all the activities listed in subparagraph (V) through (XV), including credit reference and analysis, investment and portfolio research and advice, advice on acquisitions and on corporate restructuring and strategy). This topic will support the future financial liberalization of Lao PDR which is in line with the Strategic Action Plan targeting on substantial liberalization in remaining areas.		Pillar A: The understanding on sub-sectors is financial services that the Lao PDR has not opened and how to regulate them.	2nd Quarter 2019			
FSL	WC-FSL's SAP: Progressive liberalization of financial services under ASEAN Framework Agreement on Services (AFAS)/ASEAN Trade in Services Agreement (ATISA)	Understanding the meaning of provision and transfer of financial information and financial data and related software by providers of other financial services and learn from other ASEAN members on how to regulate this area		Pillar C: Bilateral study visit on provision and transfer of financial information and financial data processing and related software by providers of other financial services.	3rd Quarter 2019			
	WC-FSL's SAP: Progressive liberalization of financial services under ASEAN Framework Agreement on Services (AFAS)/ASEAN Trade in Services Agreement (ATISA)	Understanding the meaning of advisory, intermediation and other auxiliary financial services on all the activities and learn from other ASEAN members on how to regulate this area		Pillar C: Bilateral study visit on advisory, intermediation and other auxiliary financial services on all the activities.	3rd Quarter 2019			
	Learning Roadmap Layer 1	Understanding government-back risk mitigating backstop		Pillar A: Introducing Government-backed Risk Mitigating Backstop	7-8 February 2019			
CMD	Learning Roadmap Layer 1	Understanding the key elements of ASEAN disclosure standards for debt securities		Pillar A: ASEAN Disclosure Standards for Debt Securities	16-17 June 2019			
	Learning Roadmap Layer 1	Understanding methodology for corporate bond pricing		Pillar A: Bond Pricing Methodology for Corporate Bond	11-12 August 2019			
	Financial Inclusion/PSS Learning Roadmap Layer 2/CO4	Understanding the principles of Consumer Protection and PSS security to be used in drafting secondary regulation and be used for oversight: the payment service providers		Pillar A: Consumer Protection and PSS Security	1st Quarter 2019			
	Financial Integration/PSS Learning Roadmap Layer 2/CO7	To study cross border settlement oversight in term of foreign exchange rate and settlement finality in order to draft secondary regulation and future policy application		Pillar A: Foreign Exchange Settlement and Cross Border Issues	2nd Quarter 2019			
	Financial Stability/PSS Learning Roadmap Layer 1/CO7	Understand fundamental issues to risk management of PSS that include with the one of five pillars of PS oversight		Pillar A: Introduction to Risk Management of PSS	4th Quarter 2019			
	Financial Stability/PSS Learning Roadmap Layer 1/CO4	Understanding governance in the PS business operator and payment service providers in order to effectively operate oversight		Pillar A: Governance of PSS	3rd Quarter 2019			
PSS	Financial Stability/PSS Learning Roadmap Layer 3/CO2	Studying the process of PS oversight, operating mechanism that directly involve to monitor and supervise the PS in Laos		Pillar B: Domestic Oversight of PSS	1st Quarter 2019			

WC	SAP/KPI/Annual Priorities	Needs	Capacity Building	Proposed Activities	Indicative Dates	Assessment by BCLMV	Recommended actions and comments	SCB
	Financial Inclusion/PSS Learning Roadmap Layer 2/CO1	Understand prudential and risk management retail PSS for applying to establish the regulations, monitor and supervise the payment system after issuing the regulations		Pillar B: Risk Management Retail PSS	2nd Quarter 2019			
	Financial Stability/PSS Learning Roadmap Layer 1/CO6	Payment systems department has been established in March 2018. The department has as collection of staffs from different department within BOL. Thus, there is a need to build their view on roles and responsibility of the department		Pillar C: Bilateral study visit on payment and settlement systems	1st Quarter 2019			
	PSS Learning Roadmap	FinTech is the new path of PS especially in Laos. It's important for payment systems department to bring the lesson from other regional central banks to apply their oversight work, make policy and manage the risks from payment system		Pillar C: Bilateral study visit on Fintech	4th Quarter 2019			
	CAL Learning Roadmap (L3-CO1)	To understand how to create policy under volatile capital flows		Pillar A: Monetary and Macro-Prudential Policy Formulation under Volatile Capital Flows.	4-8 Feb 2019			
	CAL Learning Roadmap (L3-CO3)	To understand how to improve mechanisms of new financial products		Pillar A: Dealing with the Introduction of New Financial Products.	11-15 Mar 2019			
	CAL Learning Roadmap (L2-CO1)	To improve regulations that can support economic growth		Pillar A: Robust Legal Framework and Governing Law.	6-10 May 2019			
	CAL Learning Roadmap (L2-CO2)	To understand analytical works to support monetary policy		Pillar A: Fundamental Analytical Work to support Monetary Policy.	17-21 Jun 2019			
	CAL Learning Roadmap (L2-CO5)	To improve of financial instruments		Pillar A: Enhancing Financial Sector Development and Financial Safety Net.	26-30 Aug 2019			
	Strategic Action Plan of desired outcome 2: Elevating Capacity Building of AMS to enhance financial inclusion ecosystem	To develop legal framework to control and supervise branchless banking especially in the beginning of developing this approach		Pillar A: Regulatory and best Practice framework for branchless banking	22-24 Apr 2019			
	Strategic Action Plan of desired outcome 2: Elevating Capacity Building of AMS to enhance financial inclusion ecosystem	To understand how to develop regulatory framework needed for controlling mobile banking transactions to reduce associated risks		Pillar B: Regulatory framework for mobile banking	13-17 May 2019			
FNC	Strategic Action Plan of desired outcome 2: Elevating Capacity Building of AMS to enhance financial inclusion ecosystem	Transactions to reduce associated risks To learn from other countries how to create framework for financial literacy especially for people living in rural area		Pillar C: Financial Inclusion (Financial Literacy practice Framework)	24-28 Jun 2019			